



FY 2018 - 2019 KDOC Budget

Joe Norwood | Secretary of Corrections | February 2018

KDOC Systemwide Budget | FY 2018

- Governor's Recommendation is \$418.7 million, with \$370.4 million from the State General Fund.
- Significant changes from the Legislative approved budget includes:
 - ❖ Transfer from the Finance Council for the targeted pay increase authorized by the 2017 Legislature.
 - ❖ Reallocation of existing resources to finance a pay increase for corrections officers.
 - ❖ Transfer of group home and JCF savings to the juvenile evidence-based programs account (the "reinvestment fund") in accordance with SB 367.
 - ❖ Reappropriation of \$12.1 million, or 3% of the total budget.

KDOC Systemwide Budget | FY 2019

- Governor's Recommendation of \$416.5 million, with \$373.9 million from the State General Fund.
- Significant changes from the Legislative approved budget includes:
 - ❖ Additional funding to sustain the uniformed staff pay increases approved in FY 2018 and to bring all uniformed staff to EDCF pay levels.
 - ❖ Funding for an approximately 5% increase for parole officers and supervisors, counselors, and unit team supervisors and managers.
 - ❖ Transfer of group home and JCF savings to the juvenile evidence-based programs account (the "reinvestment fund") in accordance with SB 367.

KDOC Systemwide Budget | FY 2019

Governor's Recommendation

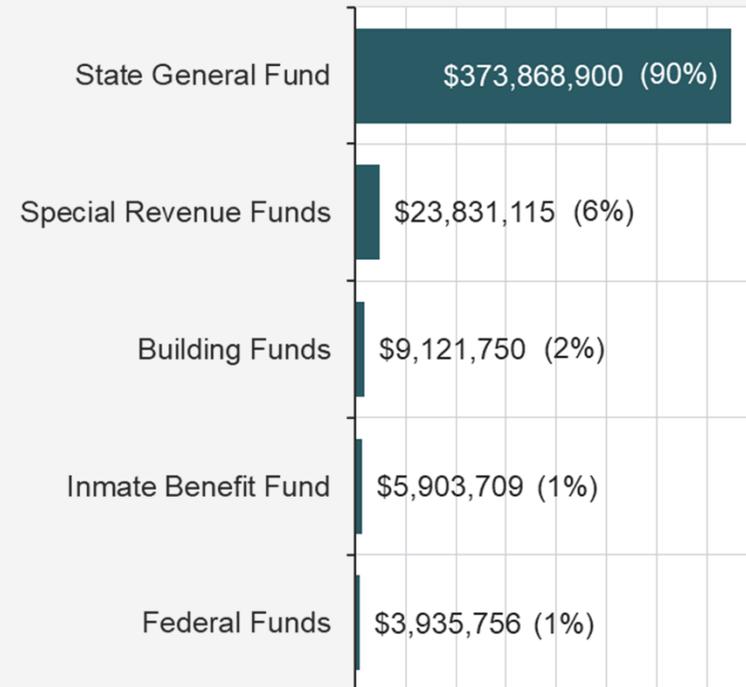
Expenditures by Program

Total: \$416,661,230



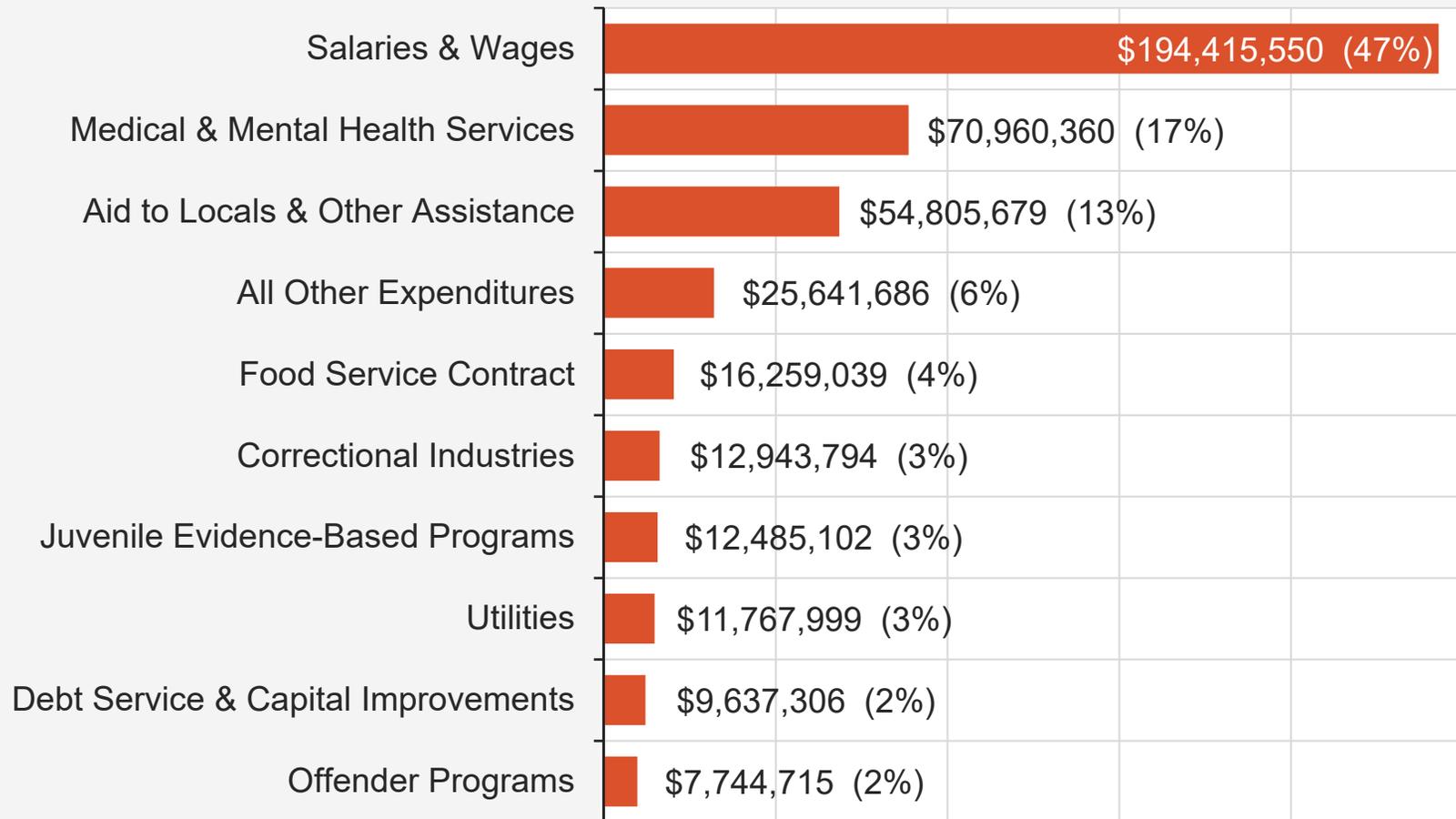
Expenditures by Fund

Total: \$416,661,230



KDOC Systemwide Budget | FY 2019

Expenditures by Type | Total: \$416,661,230



Correctional Facilities

Health Care Contract

Corizon Health, Inc.

Provides comprehensive health care services including:

- All physician, psychiatric, nursing, behavioral health, dental, and support staff (497.8 FTE)
- All medical care, including hospitalizations and off-site appointments
- Pharmaceuticals, medical supplies and equipment
- FY 2018 estimate is \$68.2 million

Correctional Facilities

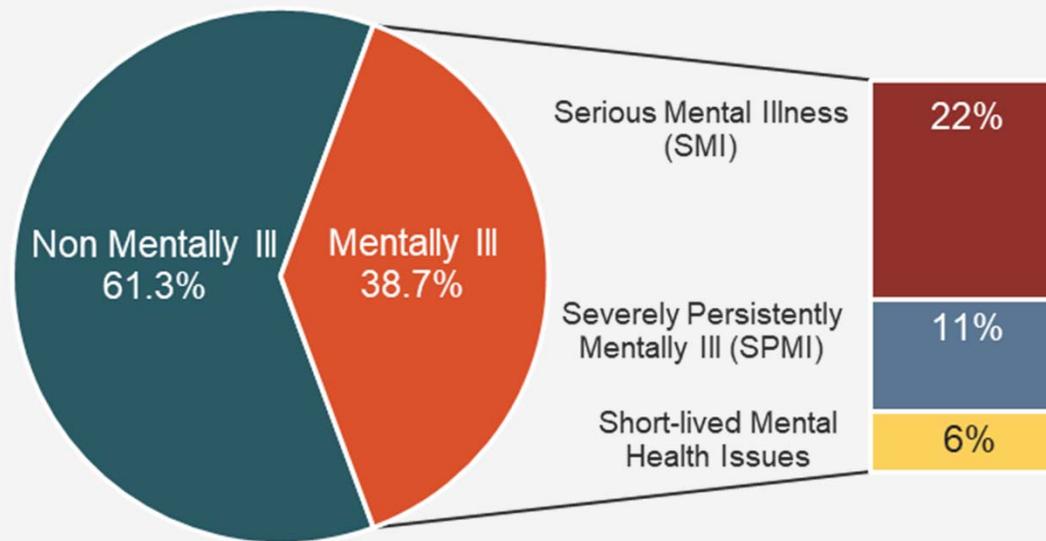
Health Care Contract: As of June 30, 2017

	Condition	% of Total Population
3,778	Have a mental health diagnosis	39%
1,074	Are considered to be severely and persistently mentally ill	11%
1,992	Are on psychotropic medications	20%
763	Are diagnosed with hepatitis	8%
1,138	Have mobility issues including requiring walking assistance, wheelchairs, bathing and dressing assistance	12%
4,509	Have chronic care needs (such as hypertension, COPD, diabetes, cancer)	46%

Mental Illness

39% of KDOC adult inmates have a mental illness

FY 2017



Defined Diagnoses:

- **Serious Mental Illness**

Diagnoses resulting in serious impairment in functioning, interfering with a person's ability to meet the ordinary demands of living and require an individualized treatment plan.

- **Severe and Persistently Mentally Ill**

Illness Eligible Diagnoses resulting in extreme and lasting impairment in functioning, requiring an individualized treatment plan and ongoing multi-disciplinary care. Diagnoses are comparable to those used and set by community standard of care.

- **Short-lived Mental Health Issues**

Diagnoses (DSM-5) that generally resolve within six months or less, as well as diagnoses that interfere with a person's functioning in social, occupational or other important activities (generally excluding a sole diagnosis of substance use disorder or personality disorder).

Responding to Mental & Behavioral Health Needs

El Dorado and Lansing

El Dorado

- Opened 62 high-acuity behavioral beds in FY 17
- Adding another 124 high-acuity behavioral health beds to the Individualized Reintegration Unit (IRU) in FY 18
 - Provides in-patient and residential levels of behavioral health service
 - Will work with a newly developed Restrictive Housing Diversion program for offenders with serious mental illness

Lansing

- Added four high-acuity behavioral health beds to Lansing, which currently houses a 280-bed specialized treatment and reintegration unit (TRU)

Responding to Mental & Behavioral Health Needs All Correctional Facilities

- Trained correctional officers, unit team, administrators, behavioral health and medical staff through the American Correctional Association's Correctional Behavioral Health Certification Program
- Provided mandatory Suicide Prevention Training using a National Center on Institutions and Alternatives model
- Provided behavioral health classification training as part of the KDOC's 2017 Re-Entry Collaboration
 - Facilitated case study exercises with the multi-disciplinary re-entry teams from each facility
- Provided a behavioral health training through the University of Kansas Medical Center during the KDOC Annual Health Care Meeting relating to the psychiatric treatment of self-injurious inmate-patients
- Conducting ongoing training for staff who work directly with the IRU population

Correctional Facilities

Food Service Contract

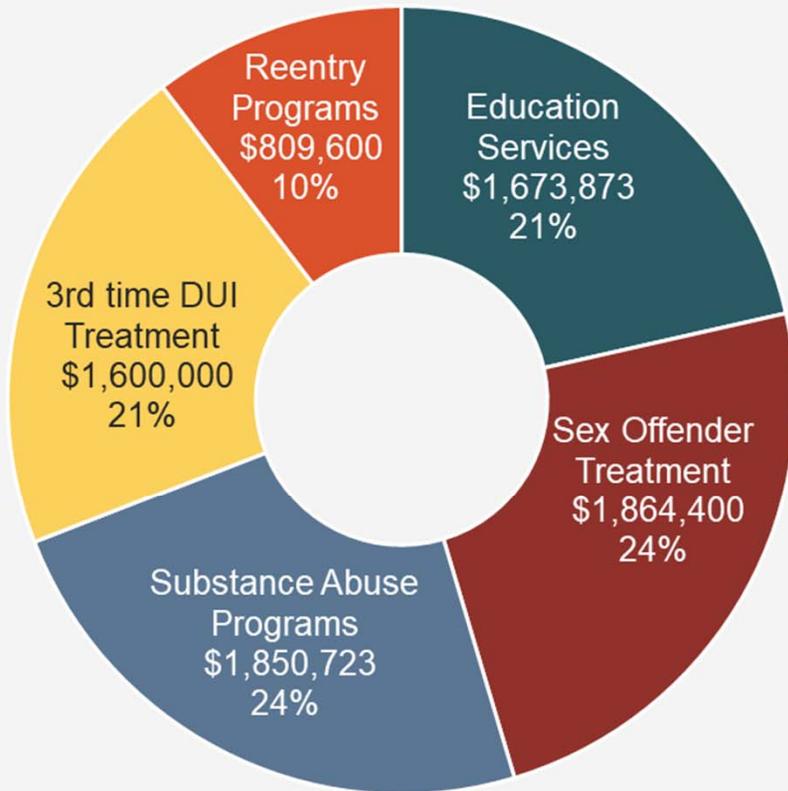
Aramark Correctional Services

- Contract is through June 2020
- 117.5 FTE and 577 inmate workers
- Provides vocational education programming through the IN2WORK program
- FY 2018 budget is \$16,259,039
 - Cost per meal rate is \$1.55
- LCMHF food ordering and initial prep by Larned State Hospital vendor; final prep and serving by Aramark

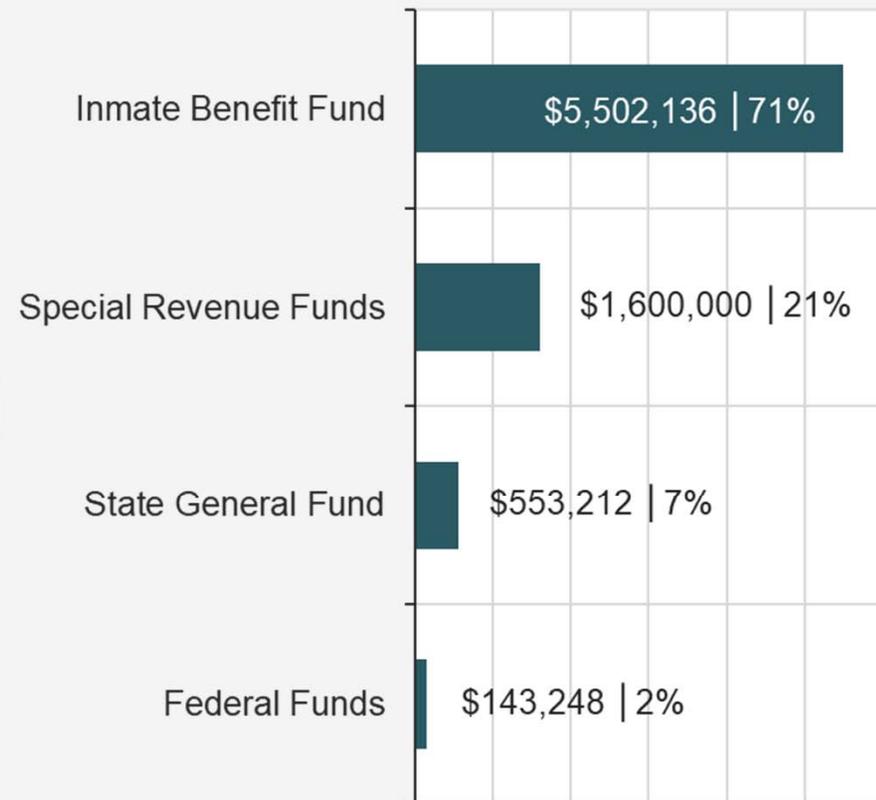
Offender Programs & Reentry | FY 2019

Governor's Recommendation

By Program
Total: \$7,798,596

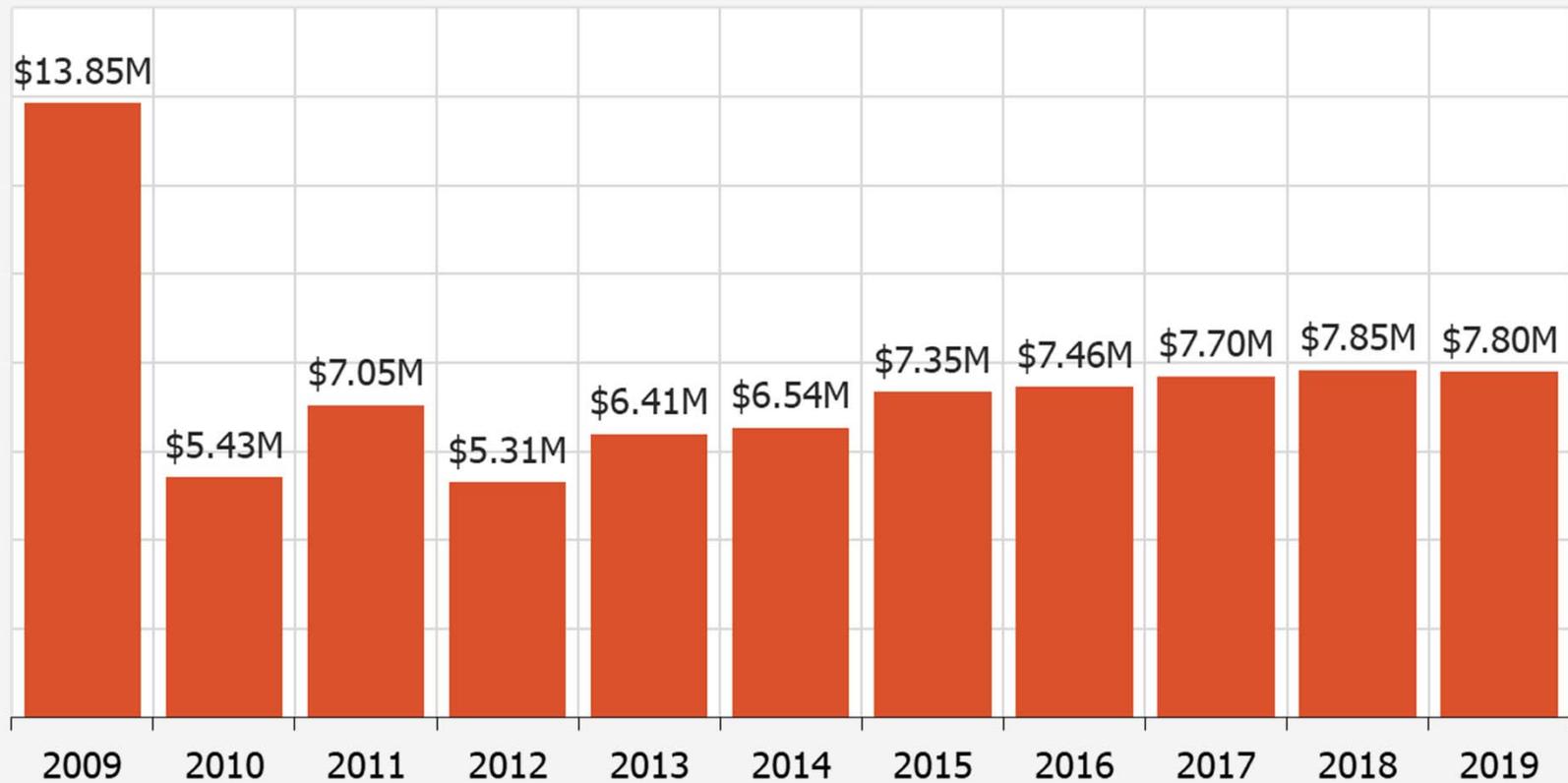


By Funding Source
Total: \$7,798,596



Program Access Funding

FY 2009 to FY 2019



Program Access

Unmet Program Needs

Of offenders released in FY 2017:

- 663 needed Sex Offender Treatment; 479 (72%) received
- 3,549 needed Substance Abuse programming; 1,840 (52%) received
- 3,371 needed employment/education; 919 (27%) received; of those:
 - 543 received GED/College/Career Success
 - 250 received Vocational/Work Ready/NCCER
 - 126 received job readiness programming
- 3,583 needed cognitive prosocial skills building; 835 (23%) received

Duration of Confinement

Confinement in KDOC and non-KDOC adult facilities

As of June 30, 2017		
	Number*	Percent
6 months or less	2,108	21.5%
Over 6 months to 1 year	1,179	12.0%
1 year to 2 years	1,519	15.5%
Over 2 years to 3 years	925	9.4%
Over 3 years to 4 years	643	6.6%
Over 4 years to 5 years	522	5.3%
Over 5 years	2,907	29.7%
Total	9,803	100.0%

*Total number of inmates housed in non-KDOC facilities was 114.

Community & Field Services

Parole Transition Unit

Transition team members assist offenders in the move to supervision by centralizing the process and allowing for more timely identification of risk and need.

Made up of three program consultants working under a parole supervisor, the team:

- Centralizes information gathering for quick assessment and program placement
- Provides for a smoother transition from a KDOC facility, or from supervision by another state, to supervision
- Ensures offenders receive the correct amount of programming commensurate with risk
- Tracks the impact of specific programs on recidivism

Uniformed Staff Turnover

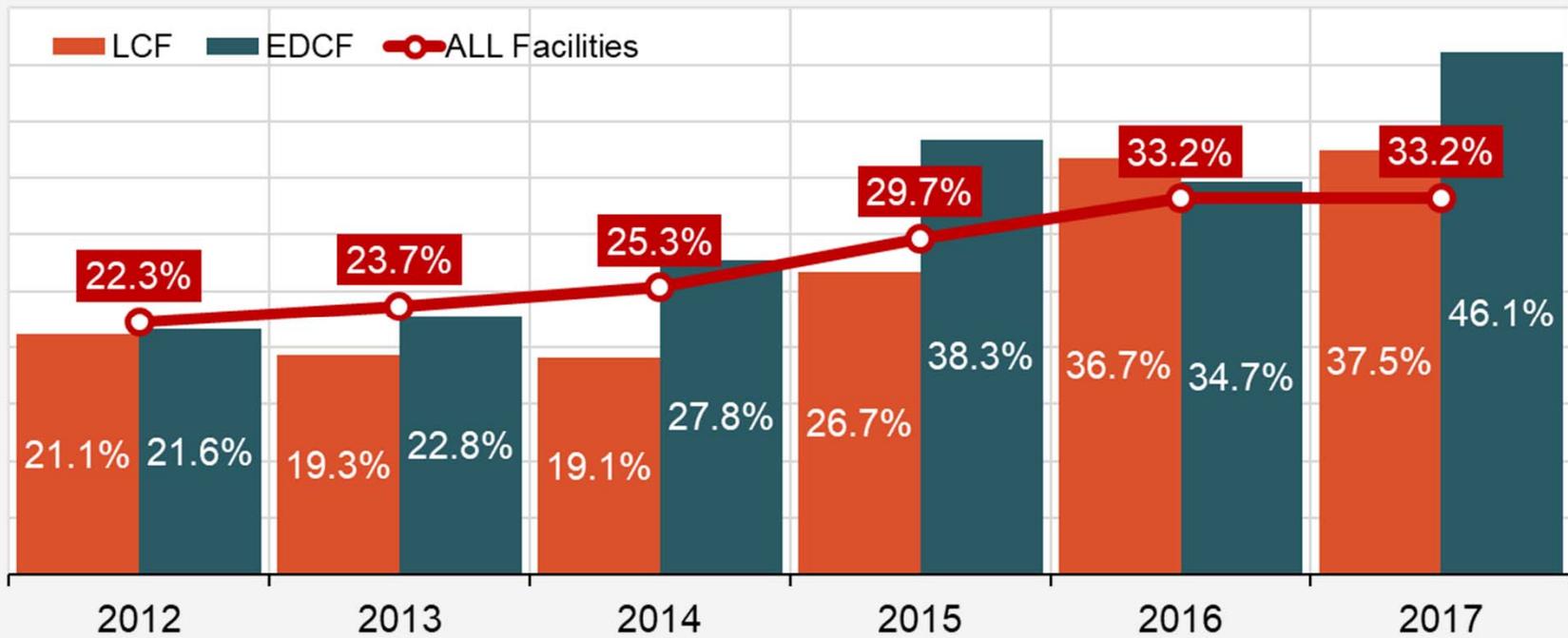
Adult Population | FY 2017

	FTE	Separations	Turnover Rate
El Dorado	360	166	46.1%
Ellsworth	161	56	34.8%
Hutchinson	362	91	25.1%
Lansing	499	187	37.5%
Larned	133	39	29.3%
Norton	196	45	23.0%
Topeka	180	53	29.4%
Winfield	130	33	25.4%
Total	2,021	670	33.2%

Uniformed Staff Turnover

KDOC Adult Population

FY 2008 - FY 2017

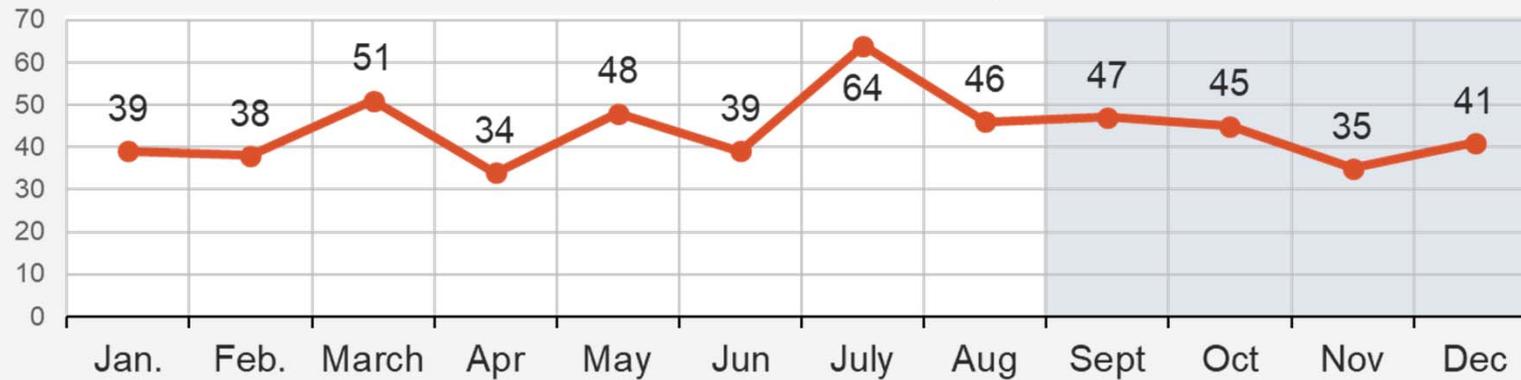


Uniformed Staff Turnover | 2017

El Dorado Turnover | Total: 204



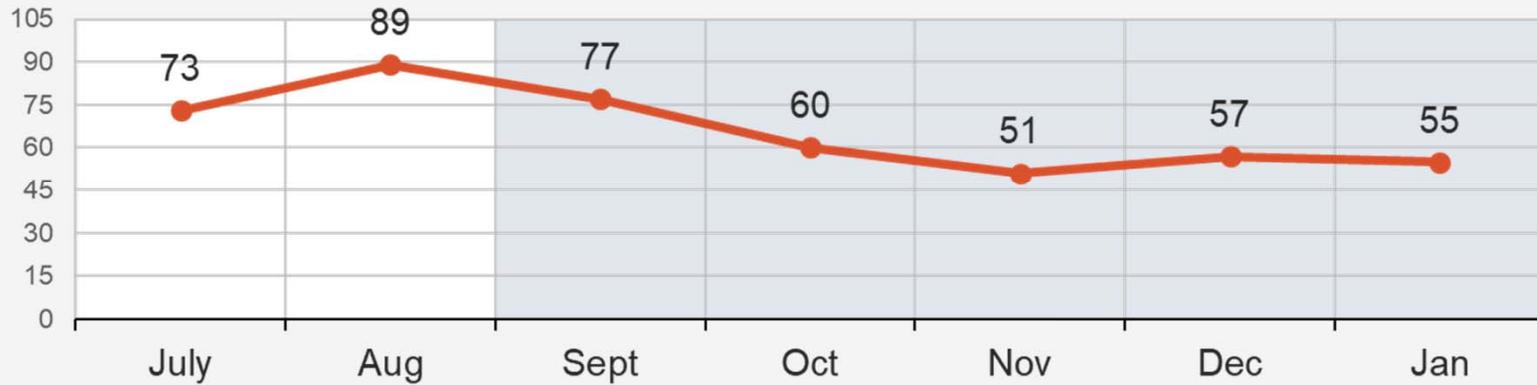
All Other Facilities Turnover | Total: 527



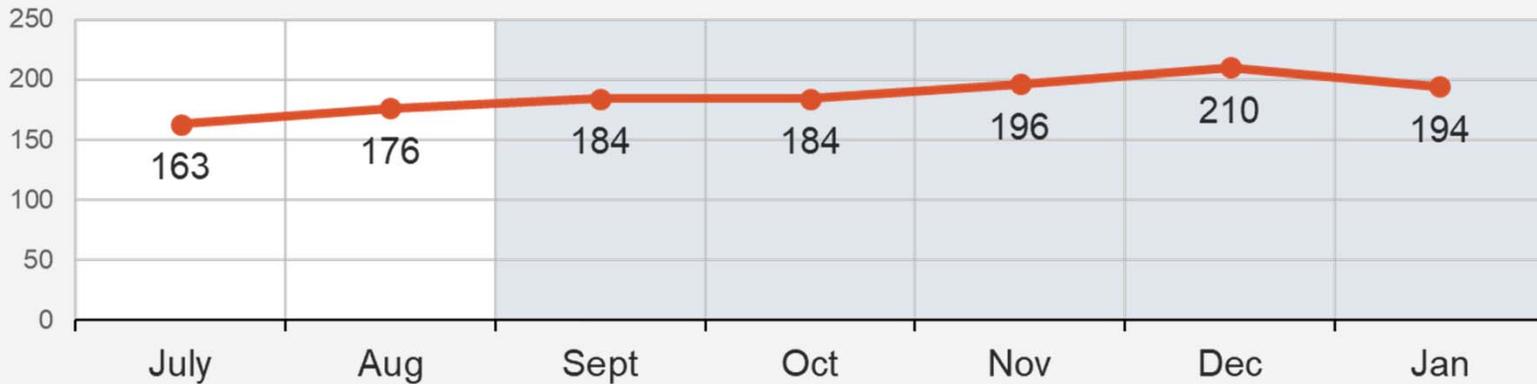
NOTE: Light Blue Shading represents time period with pay increase in effect.

Uniformed Staff Vacancies | July 2017 – Jan. 2018

El Dorado Vacancies



All Other Facilities Vacancies



NOTE: Light Blue Shading represents time period with pay increase in effect.

Insufficient Personnel Funding

- The budgetary process lacks a mechanism to account for inflation – future budgets are based on the prior fiscal year’s approved budget.
- As such, when operating costs such as utilities, fuel, and clothing increase the operating budget remains flat.
- Since salaries and wages is the Department’s largest expense, positions must be held open throughout the year to stay within budget.
- In FY 2018, nearly 10% of correctional facility FTE must be held open the entire year to meet budget.

Insufficient Personnel Funding

Shrinkage Rate Impact on Staffing | FY 2018

Equivalent Number of Positions Held Open Entire Year					
Location	Shrinkage Rate	Amount	# of Positions	Total FTE	% of FTE
Ellsworth	10.12%	\$ 1,049,896	22	235.0	9.4%
El Dorado	6.70%	\$ 1,632,391	32	486.0	6.6%
Hutchinson	10.10%	\$ 3,038,550	63	507.0	12.4%
Lansing	8.00%	\$ 3,173,275	66	684.0	9.6%
Larned	10.48%	\$ 1,132,527	24	187.0	12.7%
Norton	12.00%	\$ 1,859,774	39	264.0	14.9%
Topeka	9.80%	\$ 908,953	19	263.0	7.2%
Winfield	2.15%	\$ 239,769	5	201.0	2.5%
Total		\$ 13,035,135	271	2,827.0	9.6%

NOTE: Number of positions held open based on the started rate for a Corrections Officer I (A), using family health insurance rates and location-specific workers compensation rates. Actual positions held open during the course of the fiscal year will vary.

Correctional Officer Pay Classification and Pay Scale

(With 5% increase for all facilities and 10% increase for El Dorado)

Position	Range	Hourly Salary	Hourly Salary El Dorado
Corrections Officer 1A (Entry Level)	19	\$14.66	\$15.75
Corrections Officer 1B (After 1 year as KDOC Corrections Officer)	20	\$15.38	\$16.56
Corrections Officer II (After 1 year as KDOC Corrections Officer & Basic Officer Training completion)	21	\$16.16	\$17.39
Corrections Supervisor I (First Line Supervisor)	24	\$18.70	\$20.13
Corrections Supervisor II (Assistant Shift Supervisor)	26	\$20.58	\$22.16
Corrections Supervisor III (Shift Captain)	28	\$22.72	\$22.72

Correctional Staff Pay

Wage Compression

Incremental increases in correctional officer pay is creating wage compression between uniformed and non-uniformed positions, making it more difficult to fill critical non-uniformed positions and retain existing staff.

KDOC Staff No Bachelor's Degree Required		
	Hourly	Hourly El Dorado
Uniform Staff		
Correctional Officer I (A)	\$14.66	\$15.75
Correctional Officer I (B)	\$15.38	\$16.56
Correctional Officer II	\$16.16	\$17.39
Non-Uniform Staff		
Activity Specialist I	\$15.75	\$15.75
Activity Specialist II	\$17.39	\$17.39

KDOC Staff Bachelor's Degree Required	
	Hourly
Non-Uniform Staff	
Corrections Counselor I	\$16.56
Corrections Counselor II	\$18.26
Unit Team Supervisor	\$18.26
Parole Staff	
Parole Officer I	\$16.56
Parole Officer II	\$18.26

Reinvestment in Community-based Services

The KDOC's Juvenile Services Division obligated approximately \$7.5 million in FY18 to sustain and expand community-based services that target moderate-, high-, and very high-risk juvenile offenders and their families, including:

- \$2.5 million for statewide contracts for Functional Family Therapy (FFT®), sex offender assessment and treatment, Moral Reconciliation Therapy (MRT®), and regional/pilot projects for Youth Advocate Program (YAP®) and Aggression Replacement Training (ART®)
- \$4 million in new reinvestment grant funds for local boards of county commissioners
- \$1 million for a new Regional Collaboration Grant program
 - Up to four competitive awards of \$250,000 to groups of counties and/or interbranch collaborations

End